

B 210A (Form 210A) (12/09)

# United States Bankruptcy Court

## Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

GOLDMAN SACHS LENDING PARTNERS LLC

Name of Transferee

DEEPHAVEN DISTRESSED OPPORTUNITIES  
TRADING LTD.

Name of Transferor

Name and Address where notices to transferee  
should be sent:

Goldman Sachs Lending Partners LLC  
c/o Goldman, Sachs & Co.  
30 Hudson Street, 36th Floor  
Jersey City, NJ 07302  
Attn: Lauren Day  
Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Court Claim # (if known): 100% of Claim #19528  
Amount of Claim: \$1,372,383.37  
Date Claim Filed: September 19, 2009  
Debtor: Lehman Brothers Special Financing Inc.  
(Case No.: 08-13888)

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:   
Transferee/Transferee's Agent

Date: 5/20/11

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN DISTRESSED OPPORTUNITIES TRADING LTD.

By: Specialty Fund Management Services LLC,

Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN DISTRESSED OPPORTUNITIES TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: Michael G. [Signature]

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## Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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GOLDMAN SACHS LENDING PARTNERS LLC

Name of Transferee

DEEPHAVEN DISTRESSED OPPORTUNITIES  
TRADING LTD.

Name of Transferor

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30 Hudson Street, 36th Floor  
Jersey City, NJ 07302  
Attn: Lauren Day  
Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Court Claim # (if known): 100% of Claim #19531  
Amount of Claim: \$1,372,383.37  
Date Claim Filed: September 19, 2009  
Debtor: Lehman Brothers Holdings Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:  \_\_\_\_\_  
Transferee/Transferee's Agent

Date: 5/20/11

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN DISTRESSED OPPORTUNITIES TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18U.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

DEEPHAVEN DISTRESSED OPPORTUNITIES TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: Michael G. Smith

B 210A (Form 210A) (12/09)

# United States Bankruptcy Court

## Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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<u>GOLDMAN SACHS LENDING PARTNERS LLC</u>	<u>DEEPHAVEN EVENT TRADING LTD.</u>
Name of Transferee	Name of Transferor

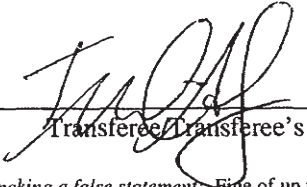
Name and Address where notices to transferee should be sent:

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c/o Goldman, Sachs & Co.  
30 Hudson Street, 36th Floor  
Jersey City, NJ 07302  
Attn: Lauren Day  
Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Court Claim # (if known): 100% of Claim #19526  
Amount of Claim: \$611,513.41  
Date Claim Filed: September 19, 2009  
Debtor: Lehman Brothers Special Financing Inc.  
(Case No.: 08-13888)

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:  \_\_\_\_\_  
Transferee/Transferee's Agent

Date: 5/20/11

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN EVENT TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_



I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18U.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

DEEPHAVEN EVENT TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: Michael C. [Signature]

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# United States Bankruptcy Court

## Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

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<u>GOLDMAN SACHS LENDING PARTNERS LLC</u>	<u>DEEPHAVEN EVENT TRADING LTD.</u>
Name of Transferee	Name of Transferor

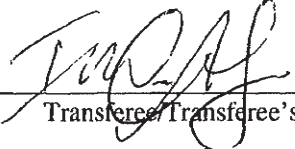
Name and Address where notices to transferee should be sent:

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c/o Goldman, Sachs & Co.  
30 Hudson Street, 36th Floor  
Jersey City, NJ 07302  
Attn: Lauren Day  
Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Court Claim # (if known): 100% of Claim #19537  
Amount of Claim: \$611,513.41  
Date Claim Filed: September 19, 2009  
Debtor: Lehman Brothers Holdings Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:   
Transferee/Transferee's Agent

Date: 5/20/11

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN EVENT TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_


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Acknowledged and Agreed:

DEEPHAVEN EVENT TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: 

B 210A (Form 210A) (12/09)

**United States Bankruptcy Court**  
**Southern District of New York**

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

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GOLDMAN SACHS LENDING PARTNERS LLC

Name of Transferee

DEEPHAVEN DOMESTIC CONVERTIBLE  
TRADING LTD.

Name of Transferor

Name and Address where notices to transferee  
should be sent:

Goldman Sachs Lending Partners LLC  
c/o Goldman, Sachs & Co.  
30 Hudson Street, 36th Floor  
Jersey City, NJ 07302  
Attn: Lauren Day  
Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Court Claim # (if known): 100% of Claim #19538  
Amount of Claim: \$739,296.25  
Date Claim Filed: September 19, 2009  
Debtor: Lehman Brothers Special Financing Inc.  
(Case No.: 08-13888)

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:  Date: 5/20/11  
Transferee/Transferee's Agent

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN DOMESTIC CONVERTIBLE TRADING LTD.

By: Specialty Fund Management Services LLC,

Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18U.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

DEEPHAVEN DOMESTIC CONVERTIBLE TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: Michael G. JTH

B 210A (Form 210A) (12/09)

## United States Bankruptcy Court

### Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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GOLDMAN SACHS LENDING PARTNERS LLC  
Name of Transferee

DEEPHAVEN DOMESTIC CONVERTIBLE  
TRADING LTD.  
Name of Transferor

Name and Address where notices to transferee  
should be sent:

Court Claim # (if known): 67358, which partially  
amends Claim #19532<sup>1</sup>

Goldman Sachs Lending Partners LLC  
c/o Goldman, Sachs & Co.  
30 Hudson Street, 36th Floor  
Jersey City, NJ 07302  
Attn: Lauren Day  
Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Amount of Claim as Filed: \$739,294.81 (with  
respect to Claim #67358)

Amount of Claim Transferred: \$739,294.81 (with  
respect to Claim #67358)

Dates Claims Filed: March 7, 2011 and September  
19, 2009, respectively

Debtor: Lehman Brothers Holdings Inc.

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<sup>1</sup> This notice of transfer of claim includes the portion of Claim #19532 which relates to the LBSF Guarantee Claim (as defined in Claim #67358), as amended by Claim #67358.



I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:  \_\_\_\_\_ Date: 5/20/11  
Transferee/Transferee's Agent

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN DOMESTIC CONVERTIBLE TRADING LTD.

By: Specialty Fund Management Services LLC,

Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18U.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

DEEPHAVEN DOMESTIC CONVERTIBLE TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: Michael G. Lyth

B 210A (Form 210A) (12/09)

**United States Bankruptcy Court**  
**Southern District of New York**

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

GOLDMAN SACHS LENDING PARTNERS LLC  
Name of Transferee

DEEPHAVEN INTERNATIONAL  
CONVERTIBLE TRADING LTD.  
Name of Transferor

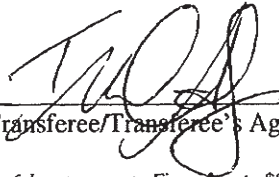
Name and Address where notices to transferee  
should be sent:

Goldman Sachs Lending Partners LLC  
c/o Goldman, Sachs & Co.  
30 Hudson Street, 36th Floor  
Jersey City, NJ 07302  
Attn: Lauren Day  
Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Court Claim # (if known): 100% of Claim #19530  
Amount of Claim: \$562,928.99  
Date Claim Filed: September 19, 2009  
Debtor: Lehman Brothers Special Financing Inc.  
(Case No.: 08-13888)

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:   
Transferee/Transferee's Agent

Date: 5/20/11

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN INTERNATIONAL CONVERTIBLE TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

DEEPHAVEN INTERNATIONAL CONVERTIBLE TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: Michael G. JTB

B 210A (Form 210A) (12/09)

## United States Bankruptcy Court

### Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
(Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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GOLDMAN SACHS LENDING PARTNERS LLC

Name of Transferee

DEEPHAVEN INTERNATIONAL  
CONVERTIBLE TRADING LTD.

Name of Transferor

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Jersey City, NJ 07302  
Attn: Lauren Day  
Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Court Claim # (if known): 67359, which partially  
amends Claim #64914, which fully amends Claim  
#19527<sup>1</sup>

Amount of Claim as Filed: \$562,926.99 (with  
respect to Claim #67359)

Amount of Claim Transferred: \$562,926.99 (with  
respect to Claim #67359)

Dates Claims Filed: March 7, 2011, November 5,  
2009 and September 19, 2009, respectively

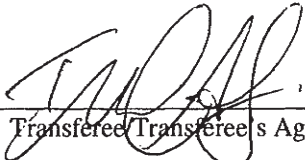
Debtor: Lehman Brothers Holdings Inc.

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<sup>1</sup> This notice of transfer of claim includes the portion of Claim #64914 which relates to the LBSF Guarantee Claim (as defined in Claim #67359), as amended by Claim #67359.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:  Date: 5/20/11  
Transferee's Agent

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN INTERNATIONAL CONVERTIBLE TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18U.S.C. §§ 152 & 3571.

Acknowledged and Agreed:

DEEPHAVEN INTERNATIONAL CONVERTIBLE TRADING LTD.

By: Specialty Fund Management Services LLC,  
Investment Manager

By: Stark Offshore Management LLC, its Manager

By: Michael G. Fitts



B 210A (Form 210A) (12/09)

## United States Bankruptcy Court

### Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
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GOLDMAN SACHS LENDING PARTNERS LLC

Name of Transferee

DEEPHAVEN GLOBAL VALUE PARTNERS  
TRADING LTD.

Name of Transferor

Name and Address where notices to transferee  
should be sent:

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c/o Goldman, Sachs & Co.  
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Jersey City, NJ 07302  
Attn: Lauren Day  
Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Court Claim # (if known): 100% of Claim #19533  
Amount of Claim: \$822,533.93  
Date Claim Filed: September 19, 2009  
Debtor: Lehman Brothers Special Financing Inc.  
(Case No.: 08-13888)

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:  Date: 5/20/11  
Transferee/Transferee's Agent

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN GLOBAL VALUE PARTNERS TRADING LTD.

By: Specialty Fund Management Services LLC, its Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

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Acknowledged and Agreed:

DEEPHAVEN GLOBAL VALUE PARTNERS TRADING LTD.

By: Specialty Fund Management Services LLC, its Investment Manager

By: Stark Offshore Management LLC, its Manager

By: Michael G. [Signature]

B 210A (Form 210A) (12/09)

**United States Bankruptcy Court**  
**Southern District of New York**

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)  
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Name of Transferee

DEEPHAVEN GLOBAL VALUE PARTNERS  
TRADING LTD.  
Name of Transferor

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Email: [gsd.link@gs.com](mailto:gsd.link@gs.com)  
Tel: (212) 934-3921

Court Claim # (if known): 100% of Claim #19521  
Amount of Claim: \$822,533.93  
Date Claim Filed: September 19, 2009  
Debtor: Lehman Brothers Holdings Inc.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By:  Date: 5/20/11  
Transferee/Transferee's Agent

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Acknowledged and Agreed:

DEEPHAVEN GLOBAL VALUE PARTNERS TRADING LTD.

By: Specialty Fund Management Services LLC, its Investment Manager

By: Stark Offshore Management LLC, its Manager

By: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

GOLDMAN SACHS LENDING PARTNERS LLC

By: \_\_\_\_\_  
Transferee/Transferee's Agent

Date: \_\_\_\_\_

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Acknowledged and Agreed:

DEEPHAVEN GLOBAL VALUE PARTNERS TRADING LTD.

By: Specialty Fund Management Services LLC, its Investment Manager

By: Stark Offshore Management LLC, its Manager

By: Michael C. / JTB